

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: FUNDROCK LIS S.A	CRD Number: 291156
Annual Amendment - All Sections	Rev. 10 / 2021
3 / 31 / 2026 10:25:39 AM	

WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.

Item 1 Identifying Information

Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an *umbrella registration*, the information in Item 1 should be provided for the *filing adviser* only. General Instruction 5 provides information to assist you with filing an *umbrella registration*.

- A.

Your full legal name (if you are a sole proprietor, your last, first, and middle names):
SANNE LIS S.A.
- B.

(1) Name under which you primarily conduct your advisory business, if different from Item 1.A.
FUNDROCK LIS S.A

List on Section 1.B. of Schedule D any additional names under which you conduct your advisory business.

(2) If you are using this Form ADV to register more than one investment adviser under an *umbrella registration*, check this box ☐

If you check this box, complete a Schedule R for each relying adviser.
- C.

If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of
☐ your legal name or ☐ your primary business name:
- D.

(1) If you are registered with the SEC as an investment adviser, your SEC file number:

(2) If you report to the SEC as an *exempt reporting adviser*, your SEC file number: **802-112352**

(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:
No Information Filed
- E.

(1) If you have a number ("CRD Number") assigned by the *FINRA's* CRD system or by the IARD system, your CRD number: **291156**

If your firm does not have a CRD number, skip this Item 1.E. Do not provide the CRD number of one of your officers, employees, or affiliates.

(2) If you have additional CRD Numbers, your additional CRD numbers:
No Information Filed
- F.

Principal Office and Place of Business

(1) Address (do not use a P.O. Box):

Number and Street 1:
5, HEIENHAFF
City:
SENNINGERBERG

State:

Country:
Luxembourg

ZIP+4/Postal Code:
1736

If this address is a private residence, check this box: ☐

List on Section 1.F. of Schedule D any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities, you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year.

(2) Days of week that you normally conduct business at your *principal office and place of business*:
☒ Monday - Friday ☐ Other:
Normal business hours at this location:
8 AM TO 6 PM CET

(3) Telephone number at this location:
+ 352 26 34 56 1

(4) Facsimile number at this location, if any:
+ 352 26 34 56 66

(5) What is the total number of offices, other than your *principal office and place of business*, at which you conduct investment advisory business as of

the end of your most recently completed fiscal year?

0

G.

Mailing address, if different from your *principal office and place of business* address:

Number and Street 1:

City:

State:

Number and Street 2:

Country:

ZIP+4/Postal Code:

If this address is a private residence, check this box:

☐

H.

If you are a sole proprietor, state your full residence address, if different from your *principal office and place of business* address in Item 1.F.:

Number and Street 1:

City:

State:

Number and Street 2:

Country:

ZIP+4/Postal Code:

Yes

No

I.

Do you have one or more websites or accounts on publicly available social media platforms (including, but not limited to, Twitter, Facebook and LinkedIn)?

☒

☐

If "yes," list all firm website addresses and the address for each of the firm's accounts on publicly available social media platforms on Section 1.I. of Schedule D. If a website address serves as a portal through which to access other information you have published on the web, you may list the portal without listing addresses for all of the other information. You may need to list more than one portal address. Do not provide the addresses of websites or accounts on publicly available social media platforms where you do not control the content. Do not provide the individual electronic mail (e-mail) addresses of employees or the addresses of employee accounts on publicly available social media platforms.

J.

Chief Compliance Officer

(1) Provide the name and contact information of your Chief Compliance Officer. If you are an *exempt reporting adviser*, you must provide the contact information for your Chief Compliance Officer, if you have one. If not, you must complete Item 1.K. below.

Name:

Telephone number:

Number and Street 1:

City:

Other titles, if any:

Facsimile number, if any:

Number and Street 2:

Country:

ZIP+4/Postal Code:

Electronic mail (e-mail) address, if Chief Compliance Officer has one:

(2) If your Chief Compliance Officer is compensated or employed by any *person* other than you, a *related person* or an investment company registered under the Investment Company Act of 1940 that you advise for providing chief compliance officer services to you, provide the *person's* name and IRS Employer Identification Number (if any):

Name:

IRS Employer Identification Number:

K.

Additional Regulatory Contact Person: If a person other than the Chief Compliance Officer is authorized to receive information and respond to questions about this Form ADV, you may provide that information here.

Name:

Telephone number:

Number and Street 1:

City:

Titles:

Facsimile number, if any:

Number and Street 2:

Country:

ZIP+4/Postal Code:

Electronic mail (e-mail) address, if contact person has one:

Yes

No

L.

Do you maintain some or all of the books and records you are required to keep under Section 204 of the Advisers Act, or similar state law, somewhere other than your *principal office and place of business*?

☐

☒

If "yes," complete Section 1.L. of Schedule D.

Yes

No

M.

Are you registered with a *foreign financial regulatory authority*?

☒

☐

Answer "no" if you are not registered with a foreign financial regulatory authority, even if you have an affiliate that is registered with a foreign financial regulatory authority. If "yes," complete Section 1.M. of Schedule D.

Yes

No

N.

Are you a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934?

☐

☒

Yes

No

O.

Did you have \$1 billion or more in assets on the last day of your most recent fiscal year?

If yes, what is the approximate amount of your assets:

☐ \$1 billion to less than \$10 billion

☐ \$10 billion to less than \$50 billion

☐ \$50 billion or more

For purposes of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P. Provide your *Legal Entity Identifier* if you have one:
549300SFZJMMBDUEUE73

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names

No Information Filed

SECTION 1.F. Other Offices

No Information Filed

SECTION 1.I. Website Addresses

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: [HTTPS://WWW.apexgroup.com](https://www.apexgroup.com)

Address of Website/Account on Publicly Available Social Media Platform: <https://ie.linkedin.com/company/fundrocklis>

Address of Website/Account on Publicly Available Social Media Platform: <https://www.fundrock-lis.com>

SECTION 1.L. Location of Books and Records

No Information Filed

SECTION 1.M. Registration with Foreign Financial Regulatory Authorities

List the name and country, in English, of each *foreign financial regulatory authority* with which you are registered. You must complete a separate Schedule D Section 1.M. for each *foreign financial regulatory authority* with whom you are registered.

Name of Country/*Foreign Financial Regulatory Authority:*

Luxembourg, Grand Duchy of - Commission to Surveillance of the Finance Sector

Other:

Name of Country/*Foreign Financial Regulatory Authority*:

Spain - National Commission of Securities Markets

Other:

Item 2 SEC Registration / Reporting

SEC Reporting by Exempt Reporting Advisers

B. Complete this Item 2.B. only if you are reporting to the SEC as an *exempt reporting adviser*. Check all that apply. You:

☐

(1) qualify for the exemption from registration as an adviser solely to one or more venture capital funds, as defined in rule 203(l)-1;

☒

(2) qualify for the exemption from registration because you act solely as an adviser to *private funds* and have assets under management, as defined in rule 203(m)-1, in the United States of less than \$150 million;

☐

(3) act solely as an adviser to *private funds* but you are no longer eligible to check box 2.B.(2) because you have assets under management, as defined in rule 203(m)-1, in the United States of \$150 million or more.

If you check box (2) or (3), complete Section 2.B. of Schedule D.

SECTION 2.B. Private Fund Assets

If you check Item 2.B.(2) or (3), what is the amount of the *private fund* assets that you manage?

\$ 0

NOTE: "*Private fund* assets" has the same meaning here as it has under rule 203(m)-1. If you are an investment adviser with its *principal office and place of business* outside the United States only include *private fund* assets that you manage at a place of business in the United States.

Item 3 Form of Organization

If you are filing an *umbrella registration*, the information in Item 3 should be provided for the *filing adviser* only.

A. How are you organized?

☒ Corporation

☐ Sole Proprietorship

☐ Limited Liability Partnership (LLP)

☐ Partnership

☐ Limited Liability Company (LLC)

☐ Limited Partnership (LP)

☐ Other (specify):

If you are changing your response to this Item, see Part 1A Instruction 4.

B. In what month does your fiscal year end each year?

DECEMBER

C. Under the laws of what state or country are you organized?

State Country

Luxembourg

If you are a *partnership*, provide the name of the state or country under whose laws your partnership was formed. If you are a *sole proprietor*, provide the name of the state or country where you reside.

If you are changing your response to this Item, see Part 1A Instruction 4.

Item 6 Other Business Activities

In this Item, we request information about your firm's other business activities.

A. You are actively engaged in business as a (check all that apply):

☐ (1) broker-dealer (registered or unregistered)

☐ (2) registered representative of a broker-dealer

☒ (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)

☐ (4) futures commission merchant

☐ (5) real estate broker, dealer, or agent

☐ (6) insurance broker or agent

☐ (7) bank (including a separately identifiable department or division of a bank)

☐ (8) trust company

☐ (9) registered municipal advisor

☐ (10) registered security-based swap dealer

☐ (11) major security-based swap participant

☐ (12) accountant or accounting firm

☐ (13) lawyer or law firm

☐ (14) other financial product salesperson (specify):

If you engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.

clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

SECTION 7.A. Financial Industry Affiliations

Complete a separate Schedule D Section 7.A. for each *related person* listed in Item 7.A.

1. Legal Name of *Related Person*:
GENERAL PARTNER SERVICES S.À R.L.
2. Primary Business Name of *Related Person*:
GENERAL PARTNER SERVICES S.À R.L.
3. *Related Person's* SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)
-
or
Other
4. *Related Person's*
(a) CRD Number (if any):

(b) CIK Number(s) (if any):

No Information Filed
5. *Related Person is*: (check all that apply)
(a) ☐ broker-dealer, municipal securities dealer, or government securities broker or dealer
(b) ☐ other investment adviser (including financial planners)
(c) ☐ registered municipal advisor
(d) ☐ registered security-based swap dealer
(e) ☐ major security-based swap participant
(f) ☐ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
(g) ☐ futures commission merchant
(h) ☐ banking or thrift institution
(i) ☐ trust company
(j) ☐ accountant or accounting firm
(k) ☐ lawyer or law firm
(l) ☐ insurance company or agency
(m) ☐ pension consultant
(n) ☐ real estate broker or dealer
(o) ☐ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
(p) ☒ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

6. Do you *control* or are you *controlled* by the *related person*? ☐ ☒

7. Are you and the *related person* under common control?

8. (a) Does the *related person* act as a qualified custodian for your *clients* in connection with advisory services you provide to *clients*? ☐ ☒

(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the *related person* and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person*? ☐ ☐

(c) If you have answered "yes" to question 8.(a) above, provide the location of the *related person's* office responsible for *custody* of your *clients'* assets:

Number and Street 1: _____ Number and Street 2: _____

City: _____ State: _____ Country: _____ ZIP+4/Postal Code: _____

If this address is a private residence, check this box: ☐

9. (a) If the *related person* is an investment adviser, is it exempt from registration?

(b) If the answer is yes, under what exemption?

10. (a) Is the *related person* registered with a *foreign financial regulatory authority* ? ○ ●
- (b) If the answer is yes, list the name and country, in English of each *foreign financial regulatory authority* with which the *related person* is registered.
- No Information Filed

11. Do you and the *related person* share any *supervised persons*? 10

12. Do you and the *related person* share the same physical location?

Item 7 Private Fund Reporting

YesNo

B. Are you an adviser to any private fund?

If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D.

In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.

SECTION 7.B.(1) Private Fund Reporting

No Information Filed

SECTION 7.B.(2) Private Fund Reporting

1. Name of the private fund:
AGILITAS 2020 PRIVATE EQUITY FUND SCSP

2. Private fund identification number:
(include the "805-" prefix also)
805-9184161873

3. Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
AGILITAS PRIVATE EQUITY LLP
SEC File Number:
802 - 80455

4. Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

YesNo

1. Name of the private fund:
APERA PRIVATE DEBT FUND I SCSP

2. Private fund identification number:
(include the "805-" prefix also)
805-3235359027

3. Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
APERA ASSET MANAGEMENT LLP
SEC File Number:
802 - 113593

4. Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one

YesNo

or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

APERA PRIVATE DEBT FUND II SCSP

2.

Private fund identification number:
(include the "805-" prefix also)

805-1152926689

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:
APERA ASSET MANAGEMENT LLP
SEC File Number:
802 - 113593

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the *private fund*:

APERA PRIVATE DEBT FUND III (USD) SCSP

2.

Private fund identification number:
(include the "805-" prefix also)

805-5812130008

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:
APERA ASSET MANAGEMENT LLP
SEC File Number:
802 - 113593

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the *private fund*:

AUDA CAPITAL FEEDER SCA SICAV-SIF - FEEDER AUDA ASIA IV

2.

Private fund identification number:
(include the "805-" prefix also)

805-2719828690

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC	
SEC File Number:	
801 - 64151	
	Yes No
4. Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	☐ ☒
In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	

1. Name of the <i>private fund</i> :	
AUDA CAPITAL FEEDER SCA SICAV-SIF - FEEDER AUDA ASIA SECONDARY FUND	
2. <i>Private fund</i> identification number:	
(include the "805-" prefix also)	
805-6345135489	
3. Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing	
Name:	
HQ CAPITAL PRIVATE EQUITY LLC	
SEC File Number:	
801 - 64151	
	Yes No
4. Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	☐ ☒
In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	

1. Name of the <i>private fund</i> :	
AUDA CAPITAL FEEDER SCA SICAV-SIF - FEEDER AUDA CAPITAL VIII ASIA	
2. <i>Private fund</i> identification number:	
(include the "805-" prefix also)	
805-1531276741	
3. Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing	
Name:	
HQ CAPITAL PRIVATE EQUITY LLC	
SEC File Number:	
801 - 64151	
	Yes No
4. Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	☐ ☒
In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	

1. Name of the <i>private fund</i> :	
AUDA CAPITAL FEEDER SCA SICAV-SIF - FEEDER AUDA CAPITAL VIII EUROPE	
2. <i>Private fund</i> identification number:	
(include the "805-" prefix also)	

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

AUDA CAPITAL FEEDER SCA SICAV-SIF - FEEDER AUDA SECONDARY FUND IV

2. *Private fund* identification number:
(include the "805-" prefix also)

805-1242272062

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

AUDA CAPITAL FEEDER SCA SICAV-SIF - FEEDER AUDA SECONDARY FUND V

2. *Private fund* identification number:
(include the "805-" prefix also)

805-7745990035

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:
AUDA CAPITAL FEEDER SCA SICAV-SIF - FEEDER AUDA VII: US PORTFOLIO

2.

Private fund identification number:
(include the "805-" prefix also)
805-6160951873

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HQ CAPITAL PRIVATE EQUITY LLC
SEC File Number:
801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

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☒

1.

Name of the *private fund*:
AUDA CAPITAL SCS SICAV-SIF - AUDA ASIA IV

2.

Private fund identification number:
(include the "805-" prefix also)
805-1946139554

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HQ CAPITAL PRIVATE EQUITY LLC
SEC File Number:
801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

☐

☒

1.

Name of the *private fund*:
AUDA CAPITAL SCS SICAV-SIF - AUDA ASIA SECONDARY FUND

2.

Private fund identification number:
(include the "805-" prefix also)
805-1238862643

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HQ CAPITAL PRIVATE EQUITY LLC
SEC File Number:
801 - 64151

Yes

No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

AUDA CAPITAL SCS SICAV-SIF - VII: US PORTFOLIO

2. *Private fund* identification number:

(include the "805-" prefix also)

805-9498908840

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes

No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

AURELIUS EUROPEAN OPPORTUNITIES IV, S.C.A. SICAV-RAIF

2. *Private fund* identification number:

(include the "805-" prefix also)

805-3506004960

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

AURELIUS INVESTMENT ADVISORY LIMITED

SEC File Number:

802 - 121748

Yes

No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

BENTALLGREENOAK EUROPE (LUX) IV SCSP

2. *Private fund* identification number:

(include the "805-" prefix also)

805-9167152732

2.

Private fund

identification number:
(include the "805-" prefix also)
805-7922663984

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:
BLUE LIKE AN ORANGE SUSTAINABLE CAPITAL
SEC File Number:
801 - 116695

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

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1.

Name of the *private fund*:

BLUE LIKE AN ORANGE SUSTAINABLE CAPITAL LATIN AMERICA FUND I

2.

Private fund

identification number:
(include the "805-" prefix also)
805-1758371377

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:
BLUE LIKE AN ORANGE SUSTAINABLE CAPITAL
SEC File Number:
801 - 116695

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

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1.

Name of the *private fund*:

BLUE LIKE AN ORANGE SUSTAINABLE CAPITAL LATIN AMERICA FUND II

2.

Private fund

identification number:
(include the "805-" prefix also)
805-5032697167

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:
BLUE LIKE AN ORANGE SUSTAINABLE CAPITAL
SEC File Number:
801 - 116695

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

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801 - 81144

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

CHURCHILL JUNIOR CAPITAL OPPORTUNITIES FUND - VEHICLE B SCSP, SICAV-RAIF - CHURCHILL JUNIOR CAPITAL OPPORTUNITIES FUND - VEHICLE

2.

Private fund identification number:
(include the "805-" prefix also)

805-7777082196

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

CHURCHILL ASSET MANAGEMENT LLC

SEC File Number:

801 - 81144

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

CHURCHILL JUNIOR CAPITAL OPPORTUNITIES FUND II MASTER SCSP

2.

Private fund identification number:
(include the "805-" prefix also)

805-2604526612

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

CHURCHILL ASSET MANAGEMENT LLC

SEC File Number:

801 - 81144

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

CHURCHILL JUNIOR CAPITAL OPPORTUNITIES FUND II SCSP

2.

Private fund identification number:
(include the "805-" prefix also)

805-6367874677

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

CHURCHILL ASSET MANAGEMENT LLC

SEC File Number:

801 - 81144

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

CHURCHILL MIDDLE MARKET SENIOR LOAN FUND - LUXEMBOURG SCSP, SICAV-RAIF - FUND IV

2.

Private fund identification number:

(include the "805-" prefix also)

805-5316097674

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

CHURCHILL ASSET MANAGEMENT LLC

SEC File Number:

801 - 81144

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

CHURCHILL MIDDLE MARKET SENIOR LOAN FUND - LUXEMBOURG SCSP, SICAV-RAIF FUND V

2.

Private fund identification number:

(include the "805-" prefix also)

805-3965257717

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

CHURCHILL ASSET MANAGEMENT LLC

SEC File Number:

801 - 81144

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

2.

Private fund identification number:

(include the "805-" prefix also)

805-1593205880
3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

CHURCHILL ASSET MANAGEMENT LLC

SEC File Number:

801 - 81144

Yes No

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

CHURCHILL MIDDLE MARKET SENIOR LOAN FUND - MASTER FUND SCSP, SICAV-RAIF - FUND V
2.

Private fund identification number:

(include the "805-" prefix also)

805-2724102882
3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

CHURCHILL ASSET MANAGEMENT LLC

SEC File Number:

801 - 81144

Yes No

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

CHURCHILL SECONDARIES SOLUTIONS II MASTER FUND, SCSP
2.

Private fund identification number:

(include the "805-" prefix also)

805-8264870280
3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

CHURCHILL ASSET MANAGEMENT LLC

SEC File Number:

801 - 81144

Yes No

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one

or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:
CLIMATE ASSET MANAGEMENT - NATURE BASED CARBON FUND B SCSP

2. *Private fund* identification number:
(include the "805-" prefix also)
805-3250211412

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
CLIMATE ASSET MANAGEMENT
SEC File Number:
802 - 127438

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1. Name of the *private fund*:
EMH GROWTH FUND II (FEEDER) SCSP

2. *Private fund* identification number:
(include the "805-" prefix also)
805-2314096027

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
EMH PARTNERS GMBH
SEC File Number:
802 - 119287

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1. Name of the *private fund*:
EMH GROWTH FUND II SCSP

2. *Private fund* identification number:
(include the "805-" prefix also)
805-5541179018

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:

EMH PARTNERS GMBH

SEC File Number:

802 - 119287

Yes No

4. Are your *clients* solicited to invest in this *private fund*?

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In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

EUROPA FUND VI SCSP

2. *Private fund* identification number:

(include the "805-" prefix also)

805-1010080398

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

EUROPA CAPITAL, LLP

SEC File Number:

802 - 74820

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

FINTECH COLLECTIVE FUND IV (EUROPE) SCSP

2. *Private fund* identification number:

(include the "805-" prefix also)

805-5805113712

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

FINTECH COLLECTIVE MANAGEMENT LLC

SEC File Number:

801 - 121471

Yes No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

G SQUARED IV - COMPARTIMENT VIRTUEL D'UN VÉHICULE CLASSIQUE

2. *Private fund* identification number:

(include the "805-" prefix also)

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

G SQUARED EQUITY MANAGEMENT LP

SEC File Number:

801 - 112429

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

G SQUARED V

2. *Private fund* identification number:
(include the "805-" prefix also)

805-2578356852

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

G SQUARED EQUITY MANAGEMENT LP

SEC File Number:

801 - 112429

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

G SQUARED VI

2. *Private fund* identification number:
(include the "805-" prefix also)

805-6776913500

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

G SQUARED EQUITY MANAGEMENT LP

SEC File Number:

801 - 112429

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:
GREENOAK EUROPE (LUX) III SCSP

2.

Private fund identification number:
(include the "805-" prefix also)
805-4227808746

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
BGO US REAL ESTATE LP
SEC File Number:
801 - 73605

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the *private fund*:
GREENOAK EUROPE CORE PLUS LOGISTICS SCSP

2.

Private fund identification number:
(include the "805-" prefix also)
805-6119938393

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
BGO US REAL ESTATE LP
SEC File Number:
801 - 73605

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the *private fund*:
GREENOAK EUROPE SECURED LENDING SLP

2.

Private fund identification number:
(include the "805-" prefix also)
805-1939983021

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
BGO US REAL ESTATE LP
SEC File Number:
801 - 73605

Yes

No

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

H.I.G. CAPITAL, LLC

SEC File Number:

801 - 74338

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

H.I.G. EUROPE REALTY PARTNERS III, SCSP

2.

Private fund identification number:
(include the "805-" prefix also)

805-3129064310

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

H.I.G. CAPITAL, LLC

SEC File Number:

801 - 74338

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

H.I.G. INFRASTRUCTURE PARTNERS C, L.P.

2.

Private fund identification number:
(include the "805-" prefix also)

805-9418961021

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

H.I.G. CAPITAL, LLC

SEC File Number:

801 - 74338

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

H.I.G. WHITEHORSE LUXEMBOURG LOAN FEEDER FUND - 2020, SCSP.

2.

Private fund identification number:
(include the "805-" prefix also)

805-3454507106

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
H.I.G. CAPITAL, LLC
SEC File Number:
801 - 74338

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the private fund:
HAMILTON LANE EUROPEAN INVESTORS SCA SICAV-RAIF - EQUITY OPPORTUNITIES FUND V PARALLEL SUB-FUND

2.

Private fund identification number:
(include the "805-" prefix also)

805-1005943809

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HAMILTON LANE
SEC File Number:
801 - 55813

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the private fund:
HAMILTON LANE EUROPEAN INVESTORS SCA SICAV-RAIF - GPA INVESTMENTS SUB-FUND

2.

Private fund identification number:
(include the "805-" prefix also)

805-9061052158

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HAMILTON LANE
SEC File Number:
801 - 55813

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

801 - 55813

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HAMILTON LANE EUROPEAN INVESTORS SCA SICAV-RAIF - SECONDARY FUND V PARALLEL SUB-FUND

2.

Private fund identification number:
(include the "805-" prefix also)

805-6326679208

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAMILTON LANE

SEC File Number:

801 - 55813

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HAMILTON LANE EUROPEAN INVESTORS SCA SICAV-RAIF - SECONDARY FUND VI-C PARALLEL SUB-FUND

2.

Private fund identification number:
(include the "805-" prefix also)

805-2984349180

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAMILTON LANE

SEC File Number:

801 - 55813

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HAMILTON LANE EUROPEAN INVESTORS SCA SICAV-RAIF - STRATEGIC OPPORTUNITIES FUND VI PARALLEL SUB-FUND

2.

Private fund identification number:
(include the "805-" prefix also)

805-5497645516

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAMILTON LANE

SEC File Number:

801 - 55813

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HAMILTON LANE EUROPEAN INVESTORS SCA SICAV-RAIF - STRATEGIC OPPORTUNITIES FUND VII PARALLEL SUB-FUND

2.

Private fund identification number:

(include the "805-" prefix also)

805-4506534617

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAMILTON LANE

SEC File Number:

801 - 55813

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HAMILTON LANE EUROPEAN INVESTORS SCA SICAV-RAIF - STRATEGIC OPPORTUNITIES FUND VIII PARALLEL SUB-FUND

2.

Private fund identification number:

(include the "805-" prefix also)

805-7089995509

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAMILTON LANE

SEC File Number:

801 - 55813

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

2.

Private fund identification number:

(include the "805-" prefix also)

805-2645356114
3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAMILTON LANE

SEC File Number:

801 - 55813

Yes No

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

HAMILTON LANE EUROPEAN INVESTORS SCA SICAV-RAIF -STRATEGIC OPPORTUNITIES FUND IX-C PARALLEL SUB-FUND
2.

Private fund identification number:

(include the "805-" prefix also)

805-3947027259
3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAMILTON LANE

SEC File Number:

801 - 55813

Yes No

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

HAMILTON LANE EUROPEAN PARTNERS SICAV-SIF - PEF IX PARALLEL SUB-FUND
2.

Private fund identification number:

(include the "805-" prefix also)

805-8274778806
3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAMILTON LANE

SEC File Number:

801 - 55813

Yes No

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one

or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:
HAMILTON LANE GLOBAL PRIVATE ASSETS FUND

2. *Private fund* identification number:
(include the "805-" prefix also)
805-2316496101

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HAMILTON LANE
SEC File Number:
801 - 55813

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:
HAMILTON LANE PRIVATE MARKETS ACCESS ELTIF - COMPARTIMENT VIRTUEL POUR OPC CLASSIQUE

2. *Private fund* identification number:
(include the "805-" prefix also)
805-5554282615

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HAMILTON LANE
SEC File Number:
801 - 55813

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:
HAMILTON LANE SENIOR CREDIT OPPORTUNITIES FUND S.A. SICAV RAIF

2. *Private fund* identification number:
(include the "805-" prefix also)
805-9474299219

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:

HAMILTON LANE	
SEC File Number:	
801 - 55813	
	Yes No
4. Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	☐ ☒
In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	

1. Name of the <i>private fund</i> :	
HAMILTON LANE SKR FUND - COMPARTIMENT VIRTUEL D'UN VÉHICULE CLASSIQUE	
2. <i>Private fund</i> identification number:	
(include the "805-" prefix also)	
805-6418455549	
3. Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing	
Name:	
HAMILTON LANE	
SEC File Number:	
801 - 55813	
	Yes No
4. Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	☐ ☒
In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	

1. Name of the <i>private fund</i> :	
HAMILTON LANE STRATEGIC OPPORTUNITIES FUND V (SERIES 2019) SCS - COMPARTIMENT VIRTUEL D'UN VÉHICULE CLASSIQUE	
2. <i>Private fund</i> identification number:	
(include the "805-" prefix also)	
805-1454065011	
3. Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing	
Name:	
HAMILTON LANE	
SEC File Number:	
801 - 55813	
	Yes No
4. Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	☐ ☒
In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	

1. Name of the <i>private fund</i> :	
HAYFIN SOF III EUR FEEDER SCSP	
2. <i>Private fund</i> identification number:	
(include the "805-" prefix also)	

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAYFIN CAPITAL MANAGEMENT LLP

SEC File Number:

802 - 75862

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

HAYFIN SOF III EUR SCSP

2. *Private fund* identification number:
(include the "805-" prefix also)

805-7623761538

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAYFIN CAPITAL MANAGEMENT LLP

SEC File Number:

802 - 75862

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

HAYFIN SOF III GBP FEEDER SCSP

2. *Private fund* identification number:
(include the "805-" prefix also)

805-1240754324

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAYFIN CAPITAL MANAGEMENT LLP

SEC File Number:

802 - 75862

Yes No

4. Are your *clients* solicited to invest in this *private fund*?



In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HAYFIN SOF III GBP SCSP

2.

Private fund identification number:
(include the "805-" prefix also)

805-8064181719

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAYFIN CAPITAL MANAGEMENT LLP

SEC File Number:

802 - 75862

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the *private fund*:

HAYFIN SOF III USD FEEDER SCSP

2.

Private fund identification number:
(include the "805-" prefix also)

805-3495823373

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAYFIN CAPITAL MANAGEMENT LLP

SEC File Number:

802 - 75862

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the *private fund*:

HAYFIN SOF III USD SCSP

2.

Private fund identification number:
(include the "805-" prefix also)

805-9794854104

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAYFIN CAPITAL MANAGEMENT LLP

SEC File Number:

802 - 75862

Yes

No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

HAYFIN SPECIAL OPPORTUNITIES FUND III SCSP

2. *Private fund* identification number:

(include the "805-" prefix also)

805-2166600331

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAYFIN CAPITAL MANAGEMENT LLP

SEC File Number:

802 - 75862

Yes

No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

HG SATURN 3 (LUX) SCSP

2. *Private fund* identification number:

(include the "805-" prefix also)

805-7817964896

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HG

SEC File Number:

802 - 76455

Yes

No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

HL SCOPE MASTER SICAV-RAIF SCSP

2. *Private fund* identification number:

(include the "805-" prefix also)

805-2535027194

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HAMILTON LANE

SEC File Number:

801 - 55813

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL II SCS SICAV-SIF - AUDA CAPITAL VIII EUROPE

2.

Private fund identification number:

(include the "805-" prefix also)

805-5356061523

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL II SCS SICAV-SIF - AUDA CAPITAL VIII U.S.

2.

Private fund identification number:

(include the "805-" prefix also)

805-4106642937

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL II SCS SICAV-SIF - AUDA CO-INVESTMENT FUND II

2.

Private fund identification number:

(include the "805-" prefix also)

805-3933107966

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

4.

Are your clients solicited to invest in this private fund?

Yes

No

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

HQ CAPITAL II SCS SICAV-SIF - AUDA SECONDARY FUND IV

2.

Private fund identification number:

(include the "805-" prefix also)

805-8822334789

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

4.

Are your clients solicited to invest in this private fund?

Yes

No

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

HQ CAPITAL II SCS SICAV-SIF - AUDA SECONDARY FUND V

2.

Private fund identification number:

(include the "805-" prefix also)

805-2412571952

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

4.

Are your clients solicited to invest in this private fund?

Yes

No

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL III SCA SICAV-RAIF - HQ CAPITAL III SCA SICAV RAIF - AUDA CAPITAL IX GLOBAL

2.

Private fund identification number:
(include the "805-" prefix also)

805-6178111682

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL III SCA SICAV-RAIF - HQ CAPITAL III SCA SICAV-RAIF - FEEDER AUDA DEEP IMPACT FUND

2.

Private fund identification number:
(include the "805-" prefix also)

805-1833979178

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL IV SCS SICAV-RAIF - AUDA CAPITAL IX U.S.

2.

Private fund identification number:
(include the "805-" prefix also)

805-3038280255

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL IV SCS SICAV-RAIF - AUDA CO-INVESTMENT FUND III

2.

Private fund identification number:

(include the "805-" prefix also)

805-5829982313

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL IV SCS SICAV-RAIF - FEEDER AUDA SECONDARY FUND VI

2.

Private fund identification number:

(include the "805-" prefix also)

805-9289303678

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

2.

Private fund identification number:

(include the "805-" prefix also)

805-6065410717
3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL (DEUTSCHLAND) GMBH

SEC File Number:

802 - 133797

YesNo

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV RAIF - AUDA CAPITAL X ASIA
2.

Private fund identification number:

(include the "805-" prefix also)

805-9895265715
3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL (DEUTSCHLAND) GMBH

SEC File Number:

802 - 133797

YesNo

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV RAIF - FEEDER AUDA CAPITAL X ASIA
2.

Private fund identification number:

(include the "805-" prefix also)

805-6831055100
3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL (DEUTSCHLAND) GMBH

SEC File Number:

802 - 133797

YesNo

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one

or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV RAIF - FEEDER AUDA CAPITAL X ASIA

2.

Private fund identification number:
(include the "805-" prefix also)

805-7604387761

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:
HQ CAPITAL (DEUTSCHLAND) GMBH
SEC File Number:
802 - 133797

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the *private fund*:

HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - AUDA CAPITAL IX EUROPE

2.

Private fund identification number:
(include the "805-" prefix also)

805-8175410600

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:
HQ CAPITAL PRIVATE EQUITY LLC
SEC File Number:
801 - 64151

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the *private fund*:

HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - AUDA CO-INVESTMENT FUND IV

2.

Private fund identification number:
(include the "805-" prefix also)

805-3759005947

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL (DEUTSCHLAND) GMBH	
SEC File Number:	
802 - 133797	
	Yes No
4. Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	☐ ☒
In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	

1. Name of the <i>private fund</i> :	
HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - AUDA SECONDARY FUND VI	
2. <i>Private fund</i> identification number:	
(include the "805-" prefix also)	
805-1311824112	
3. Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing	
Name:	
HQ CAPITAL PRIVATE EQUITY LLC	
SEC File Number:	
801 - 64151	
	Yes No
4. Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	☐ ☒
In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	

1. Name of the <i>private fund</i> :	
HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - FEEDER AUDA CAPITAL IX ASIA	
2. <i>Private fund</i> identification number:	
(include the "805-" prefix also)	
805-3763642454	
3. Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing	
Name:	
HQ CAPITAL PRIVATE EQUITY LLC	
SEC File Number:	
801 - 64151	
	Yes No
4. Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	☐ ☒
In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	

1. Name of the <i>private fund</i> :	
HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - FEEDER AUDA CAPITAL IX EUROPE	
2. <i>Private fund</i> identification number:	
(include the "805-" prefix also)	

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - FEEDER AUDA CAPITAL IX U.S

2.

Private fund identification number:

(include the "805-" prefix also)

805-7921184751

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - FEEDER AUDA CAPITAL X U.S.

2.

Private fund identification number:

(include the "805-" prefix also)

805-5352143201

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL (DEUTSCHLAND) GMBH

SEC File Number:

802 - 133797

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:
HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - FEEDER AUDA CO-INVESTMENT FUND III

2.

Private fund identification number:
(include the "805-" prefix also)
805-9556805161

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HQ CAPITAL PRIVATE EQUITY LLC
SEC File Number:
801 - 64151

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:
HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - FEEDER AUDA CO-INVESTMENT FUND IV

2.

Private fund identification number:
(include the "805-" prefix also)
805-7084601307

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HQ CAPITAL (DEUTSCHLAND) GMBH
SEC File Number:
802 - 133797

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:
HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF - FEEDER AUDA DEEP IMPACT FUND

2.

Private fund identification number:
(include the "805-" prefix also)
805-9896326822

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
HQ CAPITAL PRIVATE EQUITY LLC
SEC File Number:
801 - 64151

Yes

No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

HQ CAPITAL IV SCS SICAV-RAIF -HQ CAPITAL IV SCS SICAV-RAIF - AUDA DEEP IMPACT FUND

2. *Private fund* identification number:

(include the "805-" prefix also)

805-4995441546

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

HQ CAPITAL V SCA SICAV-RAIF

2. *Private fund* identification number:

(include the "805-" prefix also)

805-8450342483

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

HQ CAPITAL PRIVATE EQUITY LLC

SEC File Number:

801 - 64151

Yes No

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

ISQ ENERGY TRANSITION FUND (EU) EURO SCSP

2. *Private fund* identification number:

(include the "805-" prefix also)

805-6213594250

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

I SQUARED CAPITAL ADVISORS (US) LLC

SEC File Number:

801 - 78269

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

JEFFERIES FINANCE EUROPE SCSP

2.

Private fund identification number:

(include the "805-" prefix also)

805-7322125779

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

JEFFERIES FINANCE LLC

SEC File Number:

801 - 74480

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

JEFFERIES FINANCE EUROPE, S.L.P.

2.

Private fund identification number:

(include the "805-" prefix also)

805-1320038124

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

JEFFERIES FINANCE LLC

SEC File Number:

801 - 74480

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

KLAR PARTNERS I SCSP

2.

Private fund identification number:

(include the "805-" prefix also)

805-3294378370

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

KLAR PARTNERS

SEC File Number:

802 - 119938

4.

Are your clients solicited to invest in this private fund?

Yes

No

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

KLAR PARTNERS II SCSP

2.

Private fund identification number:

(include the "805-" prefix also)

805-5995892085

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

KLAR PARTNERS

SEC File Number:

802 - 119938

4.

Are your clients solicited to invest in this private fund?

Yes

No

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the private fund:

LIGHTROCK CLIMATE IMPACT FUND SCSP

2.

Private fund identification number:

(include the "805-" prefix also)

805-2083755458

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

LIGHTROCK LLP

SEC File Number:

802 - 126846

4.

Are your clients solicited to invest in this private fund?

Yes

No

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes No

4. Are your *clients* solicited to invest in this *private fund*?

☐

☒

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

PEAKSIDE REAL ESTATE FUND III, SCS

2. *Private fund* identification number:

(include the "805-" prefix also)

805-7709335803

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

PEAKSIDE CAPITAL ADVISORS AG

SEC File Number:

802 - 75453

Yes No

4. Are your *clients* solicited to invest in this *private fund*?

☐

☒

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

PEAKSIDE REAL ESTATE FUND IV, SCS

2. *Private fund* identification number:

(include the "805-" prefix also)

805-6424802081

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

PEAKSIDE CAPITAL ADVISORS AG

SEC File Number:

802 - 75453

Yes No

4. Are your *clients* solicited to invest in this *private fund*?

☐

☒

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

RECAP OPPORTUNITY FUND III SCS SICAF-RAIF

2. *Private fund* identification number:

(include the "805-" prefix also)

805-5906070803

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

CP CAPITAL (US) PARTNERS LP

SEC File Number:

801 - 72760

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

SLATE EUROPEAN REAL ESTATE FUND LLL

2.

Private fund identification number:

(include the "805-" prefix also)

805-9990853297

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

SLATE ADVISORY SERVICE (US) LLC

SEC File Number:

801 - 119571

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

STEPSTONE BVK OPPORTUNITIES FUND II SCSP

2.

Private fund identification number:

(include the "805-" prefix also)

805-5704336221

3.

Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

STEPSTONE GROUP LP

SEC File Number:

801 - 68079

Yes

No

4.

Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.

Name of the *private fund*:

STEPSTONE BVK OPPORTUNITIES FUND SCSP

2.

Private fund identification number:
(include the "805-" prefix also)
805-4727800323

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
STEPSTONE GROUP LP
SEC File Number:
801 - 68079

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the private fund:
STEPSTONE EUROPEAN FUND SCS, SICAV-FIS - STEPSTONE REAL ESTATE PARTNERS III

2.

Private fund identification number:
(include the "805-" prefix also)
805-5998968525

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
STEPSTONE GROUP REAL ESTATE LP
SEC File Number:
801 - 106835

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes

No

1.

Name of the private fund:
STONESHIELD INVESTMENT FUND SCA SICAV-RAIF - STONESHIELD OPPORTUNITY FUND III

2.

Private fund identification number:
(include the "805-" prefix also)
805-1244801811

3.

Name and SEC File number of adviser that provides information about this private fund in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
STONESHIELD INVESTMENTS LIMITED
SEC File Number:
802 - 131206

4.

Are your clients solicited to invest in this private fund?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one

Yes

No

or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:
STONESHIELD INVESTMENT FUND SCA SICAV-RAIF - STONESHIELD SOUTHERN EUROPEAN REAL ESTATE

2. *Private fund* identification number:
(include the "805-" prefix also)
805-6627323478

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
STONESHIELD INVESTMENTS, S.L.
SEC File Number:
802 - 131205

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes No

1. Name of the *private fund*:
STONESHIELD INVESTMENT FUND SCA SICAV-RAIF - STONESHIELD SOUTHERN EUROPEAN REAL ESTATE II

2. *Private fund* identification number:
(include the "805-" prefix also)
805-3996307550

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:
STONESHIELD INVESTMENTS LIMITED
SEC File Number:
802 - 131206

4. Are your *clients* solicited to invest in this *private fund*?

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes No

1. Name of the *private fund*:
TA ASSOCIATES QIAIF ICAV - TA DEBT FUND V FEEDER FUND

2. *Private fund* identification number:
(include the "805-" prefix also)
805-6052603518

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing
Name:

TA ASSOCIATES	
SEC File Number:	
801 - 74026	
	Yes No
4.	Are your <i>clients</i> solicited to invest in this <i>private fund</i> ? In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.	Name of the <i>private fund</i> : TRILANTIC EUROPE VI SCSP
2.	<i>Private fund</i> identification number: (include the "805-" prefix also) 805-3489696771
3.	Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing Name: TRILANTIC EUROPE S.A R.L. SEC File Number: 802 - 121677
	Yes No
4.	Are your <i>clients</i> solicited to invest in this <i>private fund</i> ? In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.	Name of the <i>private fund</i> : TRILL IMPACT II (NO.2) SCSP
2.	<i>Private fund</i> identification number: (include the "805-" prefix also) 805-4802662282
3.	Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing Name: HAYFIN CAPITAL MANAGEMENT LLP SEC File Number: 802 - 75862
	Yes No
4.	Are your <i>clients</i> solicited to invest in this <i>private fund</i> ? In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1.	Name of the <i>private fund</i> : WILSHIRE EUROPEAN VENTURE CAPITAL FUND II SCSP
2.	<i>Private fund</i> identification number: (include the "805-" prefix also)

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

WILSHIRE

SEC File Number:

801 - 36233

Yes No

4. Are your *clients* solicited to invest in this *private fund*?

☐ ☒

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

1. Name of the *private fund*:

WILSHIRE EUROPEAN VENTURE CAPITAL FUND III SCSP

2. *Private fund* identification number:
(include the "805-" prefix also)

805-6660778656

3. Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing

Name:

WILSHIRE

SEC File Number:

801 - 36233

Yes No

4. Are your *clients* solicited to invest in this *private fund*?

☐ ☒

In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Item 10 Control Persons

In this Item, we ask you to identify every *person* that, directly or indirectly, *controls* you. If you are filing an *umbrella registration*, the information in Item 10 should be provided for the *filing adviser* only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

Yes No

A. Does any *person* not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, *control* your management or policies?

☐ ☒

If yes, complete Section 10.A. of Schedule D.

B. If any *person* named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

SECTION 10.A. Control Persons

No Information Filed

No Information Filed

Item 11 Disclosure Information

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your *advisory affiliates*. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the *filing adviser* and all *relying advisers* under an *umbrella registration*.

Your *advisory affiliates* are: (1) all of your current *employees* (other than *employees* performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any *person* performing similar functions); and (3) all *persons* directly or indirectly *controlling* you or *controlled* by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your *advisory affiliates* are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

	Yes	No
Do any of the events below involve you or any of your <i>supervised persons</i> ?	☐	☒

For "yes" answers to the following questions, complete a Criminal Action DRP:

A.	In the past ten years, have you or any <i>advisory affiliate</i> :	Yes	No
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any <i>felony</i> ?	☐	☒
	(2) been <i>charged</i> with any <i>felony</i> ?	☐	☒

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) to charges that are currently pending.

B.	In the past ten years, have you or any <i>advisory affiliate</i> :		
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	☐	☒
	(2) been <i>charged</i> with a <i>misdemeanor</i> listed in Item 11.B.(1)?	☐	☒

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently pending.

For "yes" answers to the following questions, complete a Regulatory Action DRP:

C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) <i>found</i> you or any <i>advisory affiliate</i> to have made a false statement or omission?	☐	☒
	(2) <i>found</i> you or any <i>advisory affiliate</i> to have been <i>involved</i> in a violation of SEC or CFTC regulations or statutes?	☐	☒
	(3) <i>found</i> you or any <i>advisory affiliate</i> to have been a cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted?	☐	☒
	(4) entered an <i>order</i> against you or any <i>advisory affiliate</i> in connection with <i>investment-related</i> activity?	☐	☒
	(5) imposed a civil money penalty on you or any <i>advisory affiliate</i> , or <i>ordered</i> you or any <i>advisory affiliate</i> to cease and desist from any activity?	☐	☒

D.	Has any other federal regulatory agency, any state regulatory agency, or any <i>foreign financial regulatory authority</i> :		
	(1) ever <i>found</i> you or any <i>advisory affiliate</i> to have made a false statement or omission, or been dishonest, unfair, or unethical?	☐	☒
	(2) ever <i>found</i> you or any <i>advisory affiliate</i> to have been <i>involved</i> in a violation of <i>investment-related</i> regulations or statutes?	☐	☒
	(3) ever <i>found</i> you or any <i>advisory affiliate</i> to have been a cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted?	☐	☒
	(4) in the past ten years, entered an <i>order</i> against you or any <i>advisory affiliate</i> in connection with an <i>investment-related</i> activity?	☐	☒
	(5) ever denied, suspended, or revoked your or any <i>advisory affiliate's</i> registration or license, or otherwise prevented you or any <i>advisory affiliate</i> , by <i>order</i> , from associating with an <i>investment-related</i> business or restricted your or any <i>advisory affiliate's</i> activity?	☐	☒

E.	Has any <i>self-regulatory organization</i> or commodities exchange ever:		
	(1) <i>found</i> you or any <i>advisory affiliate</i> to have made a false statement or omission?	☐	☒
	(2) <i>found</i> you or any <i>advisory affiliate</i> to have been <i>involved</i> in a violation of its rules (other than a violation designated as a " <i>minor rule violation</i> " under a plan approved by the SEC)?	☐	☒

HUWER, WOLFRAM, MARC	I	CHIEF COMPLIANCE OFFICER	04/2020	NA	N	N	7889249
FUNDROCK HOLDINGS S.A.(LUX)	FE	HOLDING COMPANY	06/2024	A	N	N	xxx-xx-xxxx
KOFFI, WILFRED, HUA SERGE	I	CHIEF INVESTMENT OFFICER	07/2025	NA	N	N	8232698
ROUGIER, ETTIENNE, EDMOND	I	MEMBER OF THE BOARD	07/2025	NA	N	N	8232701
RAMACHANDRAN, KAVITHA	I	MEMBER OF THE BOARD	03/2026	NA	N	N	8232702
HANSSENS, PHILIP, HENRI	I	CONDUCTING OFFICER COMPLIANCE	03/2026	NA	N	N	5231648
STOFFELS, MARC	I	CHIEF FINANCIAL OFFICER	09/2025	NA	N	N	7224864

Schedule B

Indirect Owners

- Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

 - in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
 - in the case of an owner that is a trust, the trust and each trustee; and
 - in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- Ownership codes are: C - 25% but less than 50% E - 75% or more
 D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)
- In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	Ownership Code	Control Person	PR	CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
APEX GROUP (LUXEMBOURG) HOLDINGS 2 S.A.R.L.	FE	APEX GROUP (LUXEMBOURG) HOLDINGS S.A.R.L.	HOLDING COMPANY	09/2017	E	Y	N	
APEX GROUP HOLD CO (UK) LIMITED	FE	APEX GROUP (LUXEMBOURG) HOLDINGS 2 S.A.R.L.	HOLDING COMPANY	08/2006	E	Y	N	
APEX GROUP FUND AND CORPORATE SERVICES HOLDING LIMITED	FE	APEX GROUP HOLD CO (UK) LIMITED	MOTHER COMPANY	01/2015	E	Y	N	
APEXGROUP JERSEY LIMITED	FE	APEX GROUP FUND AND CORPORATE SERVICES HOLDING LIMITED	HOLDING COMPANY	01/2018	E	Y	N	
APEX ACQUISITION COMPANY LIMITED	FE	APEXGROUP JERSEY LIMITED	SHAREHOLDER	08/2022	F	N	N	
APEX STRUCTURED INTERMEDIATE HOLDING LTD	FE	APEX ACQUISITION COMPANY LIMITED	SHAREHOLDER	08/2021	F	N	N	
APEX STRUCTURED HOLDINGS LTD	FE	APEX STRUCTURED INTERMEDIATE HOLDING LTD	SHAREHOLDER	05/2018	F	N	N	
APEX GROUP LTD	FE	APEX STRUCTURED HOLDINGS LTD	SHAREHOLDER	05/2018	F	N	N	
APEX MANAGERS HK LIMITED	FE	FUNDROCK HOLDINGS S.A.	SHAREHOLDER	06/2024	F	N	N	

		(LUX)						
APEX MANAGERS LIMITED	FE	APEX MANAGERS HK LIMITED	SHAREHOLDER	06/2024	F	N	N	
APEX FUND SERVICES HOLDINGS LIMITED	FE	APEX MANAGERS LIMITED	SHAREHOLDER	06/2024	F	N	N	
GC AGILE INTERMEDIATE HOLDINGS LIMITED	FE	APEX FUND SERVICES HOLDINGS LIMITED	SHAREHOLDER	06/2024	F	N	N	
GC AGILE HOLDINGS LIMITED	FE	GC AGILE INTERMEDIATE HOLDINGS LIMITED	SHAREHOLDER	06/2024	F	N	N	
APEX STRUCTURED HOLDINGS LTD	FE	GC AGILE HOLDINGS LIMITED	SHAREHOLDER	06/2024	F	N	N	

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

FundRock L ("LIS") acts as alternative investment fund manager (“AIFM”) under European Union Directive EU 2011/65 for the funds disclosed in Schedule D Section 7.B.2, and for the funds noted below. For each of these funds another legal entity acts as adviser or sub-adviser, as the case may be, and is responsible for disclosing information about these funds in its Form ADV Part 1 Schedule D Section 7.B.1. In addition to its disclosures in Section 7.B.2 herein, FundRock LIS S.A. is disclosing in this Miscellaneous Box information about funds for which it does not have or cannot obtain all the information to provide all of the disclosures required for Section 7.B.2 (one of the private fund’s name, PFIN, name of the adviser required to file and filing Section 7.B.1 disclosures or that firm’s SEC File no), and for each it lists the following that are available at the time of this filing: name of the private fund, PFIN, name of the SEC registered investment adviser (“RIA”) or Exempt Reporting Adviser (“ERA”) or that firm’s SEC file no. We do not solicit our clients to invest in any of these funds. • HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV RAIF – AUDA ASIA SECONDARY FUND II . (No PFIN). US advisor is HQ CAPITAL PRIVATE EQUITY LLC 801 – 64151 • HQ CAPITAL IV SCS SICAV-RAIF -HQ CAPITAL IV SCS SICAV-RAIF – FEEDER AUDA CAPITAL X U.S. (No PFIN). US advisor is HQ CAPITAL PRIVATE EQUITY LLC 801 – 64151 • HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV RAIF – HQ CAPITAL IV SCS SICAV RAIF – AUDA CAPITAL X ASIA. (No PFIN). US advisor is HQ CAPITAL PRIVATE EQUITY LLC 801 – 64151 • HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV RAIF - HQ CAPITAL IV SCS SICAV RAIF – FEEDER AUDA CAPITAL X ASIA . (No PFIN). US advisor is HQ CAPITAL PRIVATE EQUITY LLC 801 – 64151 • HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV RAIF - HQ CAPITAL IV SCS SICAV RAIF - AUDA CAPITAL X EUROPE. (No PFIN). US advisor is HQ CAPITAL PRIVATE EQUITY LLC 801 – 64151 • HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV RAIF - HQ CAPITAL IV SCS SICAV RAIF – FEEDER AUDA CAPITAL X EUROPE . (No PFIN). US advisor is HQ CAPITAL PRIVATE EQUITY LLC 801 – 64151 • HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV RAIF - HQ CAPITAL IV SCS SICAV RAIF – AUDA CAPITAL X U.S. (No PFIN). US advisor is HQ CAPITAL PRIVATE EQUITY LLC 801 – 64151 • HQ CAPITAL IV SCS SICAV-RAIF - HQ CAPITAL IV SCS SICAV-RAIF – FEEDER AUDA CO-INVESTMENT FUND IV. (No PFIN). US advisor is HQ CAPITAL PRIVATE EQUITY LLC 801 – 64151 • AUDA SECONDARY FUND VSUSTAINABLE GROWTH FUND II, SCSP, SICAV-SIF • COLLATERAL GOOD PACKAGING INNOVATION I SCSP RAIF • STEPSTONE BVK OPPORTUNITIES FUND II SCSP. (No PFIN). U.S. advisor is STEPSTONE GROUP LP 801 – 68079 • HQ CAPITAL V SCA SICAV-RAIF - HQ CAPITAL V SCA SICAV-RAIF - AUDA CAPITAL X GLOBAL. US Advisor is H.I.G. Capital LLC 80174338 • STEPSTONE BVK OPPORTUNITIES FUND III SCSP • WHITE ITALY 805-6576082779 • EU INDUSTRIAL CLUB II SCS . US advisor TXRE ADVISERS, LLC 801-73766 • EU INDUSTRIAL CLUB II UK AIV SCSP. US advisor TXRE ADVISERS, LLC 801-73766 • EU INDUSTRIAL CLUB III SCSP. US advisor TXRE ADVISERS, LLC 801-73766 • UK INDUSTRIAL CLUB II SCSP . US advisor TXRE ADVISERS, LLC 801-73766 • OCEAN 14 CAPITAL FUND 1 SCSP • EU INDUSTRIAL CLUB IV SCSP. US advisor TXRE ADVISERS, LLC 801-73766 • ALPHA INTELLIGENCE CAPITAL FUND II, SCSP, SICAV-RAIF • VESPER NEXT GENERATION INFRASTRUCTURE FUND I S.C.SP • CLP GROWTH III USD SCSP (no PFIN) • BID EQUITY FUND II-B SCSP • BID EQUITY OVERFLOW FUND II-B, SCSP. (no PFIN) US advisor is BID Equity GmbH 801119571 • TEMPUS EUROPE INVESTMENT FUND S.À R.L., SICAV-SIF - TEMPUS RE COF FUND. (no PFIN). US advisor is H.I.G. Capital LLC 80174338 • EMERAM PRIVATE EQUITY FUND II SCSP • A/O BUILT TECHNOLOGIES II • H.I.G. WHITEHORSE EUROPE LEVERED DIRECT LENDING FUND II, SCSP. (no PFIN). US advisor is H.I.G. Capital LLC 80174338 • H.I.G. WHITEHORSE EUROPE UNLEVERED DIRECT LENDING FUND II, SCSP. (no PFIN). US advisor is H.I.G. Capital LLC 80174338 • GTIS LOGISTICS PARTNERS I S.C.S. • MIDDLEGROUND PARTNERS III (EU), S.C.SP. US advisor is MIDDLEGROUND MANAGEMENT, LP 801-113431 • H.I.G. EUROPE MIDDLE MARKET LBO FUND II, SCSP. US advisor is H.I.G. Capital LLC 80174338 • BOSTON CAPITAL INCOME AND VALUE U.S. APARTMENT FUND - SUB-FUND 2 (no PFIN) • BOSTON CAPITAL INCOME AND VALUE U.S. APARTMENT FUND II-A, SCSP RAIF (no PFIN) • BOSTON CAPITAL INCOME AND VALUE U.S. APARTMENT FUND II-B, SCSP RAIF (no PFIN) • DIGITAL INFRASTRUCTURE VEHICLE II SCSP (no PFIN). US advisor is DTCP USA LLC 802-124906 • DIV II MATRIX CO-INVEST II SCSP (no PFIN). US advisor is DTCP USA LLC 802-124906 • EQT EXETER CHINA LOGISTICS FUND SCSP • 3VC II SCSP (no PFIN) • ARSENAL CAPITAL PARTNERS EUROPE SCSP RAIF (no PFIN) • AURELIUS EUROPEAN OPPORTUNITIES IV, S.C.A. SICAV-RAIF (no PFIN) • TRILL IMPACT (NO.2) SCSP. (No PFIN) • The Greater Share Education Fund SCSp (PFIN 805-9375944124) • RUSSELL INVESTMENTS HAMILTON LANE PRIVATE MARKETS SCA SICAV RAIF - RIHL CO-INVESTMENT SUBB-FUND (No PFIN) • RUSSELL INVESTMENTS HAMILTON LANE PRIVATE MARKETS SCA SICAV RAIF - RIHL SECONDARIES SUB-FUND (No pfin) • HAMILTON LANE VENTURE ACCESS FUND I SCSP SICAV-RAIF (No pfin) • HAMILTON LANE VENTURE ACCESS FUND I SCSP SICAV-RAIF (No pfin) • HAMILTON LANE EQUITY OPPORTUNITIES FUND VI-C SCA SICAV-RAIF -EQUITY OPPORTUNITIES FUND VI-C PARALLEL SUB-FUND (No PFIN) • HQ CAPITAL V SCA SICAV-RAIF - HQ CAPITAL V SCA SICAV-RAIF - FEEDER AUDA ASIA SECONDARY FUND II (No PFIN) • TRIPLE PRIVATE EQUITY FUND I CIV SCSP - TRIPLE PRIVATE EQUITY FUND I CIV SCSP PFIN 805-2029584. US Advisor is Plesner (021-518708) • TRIPLE PRIVATE EQUITY FUND I SCSP - TRIPLE PRIVATE EQUITY FUND I SCSP (PFIN 805-2029583) US Advisor is US Advisor is Plesner (021-518708) • PRIMEVEST COMMUNICATION INFRASTRUCTURE FUND, SICAV-FIS (No PFIN) • TEMPUS EUROPE INVESTMENT FUND S.À R.L., SICAV-SIF (No PFIN) • TEMPUS RE LV FUND (No PFIN) • TEMPUS EUROPE INVESTMENT FUND S.À R.L., SICAV-SIF - TEMPUS RE COF FUND (No PFIN) • CI GLOBAL RE S.À R.L., SICAF-FIS - PICASSO SUB-FUND (No PFIN) • YIELCO INFRASTRUKTUR III FEEDER SCA, SICAV-RAIF (No PFIN) • YIELCO SPECIAL SITUATIONS EUROPE II SCS, SICAV-RAIF (No PFIN) • TELIOS FUND SICAV- RAIF SCSP - TELIOS EUROPEAN INFRASTRUCTURE PARKING FUND (No PFIN) • DIGITAL INFRASTRUCTURE VEHICLE II SCSP (No PFIN) • ARSENAL CAPITAL PARTNERS EUROPE SCSP RAIF (No PFIN) • SGT CAPITAL CO-INVEST I SCSP (No PFIN) • EMERAM PRIVATE EQUITY FUND II SCSP (No PFIN) • A/O BUILT TECHNOLOGIES II (No PFIN) • BGO EUROPE IV TIGER CO-INVESTMENT SCSP (No PFIN) • HAMILTON LANE EQUITY OPPORTUNITIES FUND VI-C SCA SICAV-RAIF (No PFIN) • H.I.G. EUROPE MIDDLE MARKET LBO FUND II, SCSP (No PFIN) • HAMILTON LANE GLOBAL PRIVATE INFRASTRUCTURE FUND (No PFIN) • ISQ GLOBAL INFRASTRUCTURE FUND IV Please note that LIS works closely with its following related person: FundRock Management Company S.A and Apex Fund Services S.A which are Luxembourg supervised entities providing administration and depositary services, notably to the common clients with LIS

DRP Pages

CRIMINAL DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:

Date: MM/DD/YYYY

Printed Name:

Title:

Adviser *CRD* Number:

291156

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. *Non-Resident* Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in

Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:	Date: MM/DD/YYYY
WOLFRAM HUWER	03/31/2026
Printed Name:	Title:
WOLFRAM HUWER	CCO
Adviser <i>CRD</i> Number:	
291156	