

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: WEALTH MANAGEMENT SOLUTIONS	CRD Number: 127517
Other-Than-Annual Amendment - All Sections	Rev. 10 / 2021
5 / 14 / 2026 6:13:32 PM	

WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.

Item 1 Identifying Information

Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an *umbrella registration*, the information in Item 1 should be provided for the *filing adviser* only. General Instruction 5 provides information to assist you with filing an *umbrella registration*.

A.

Your full legal name (if you are a sole proprietor, your last, first, and middle names):
WEALTH MANAGEMENT SOLUTIONS, LLC

B.

(1) Name under which you primarily conduct your advisory business, if different from Item 1.A.
WEALTH MANAGEMENT SOLUTIONS

List on *Section 1.B. of Schedule D* any additional names under which you conduct your advisory business.

(2) If you are using this Form ADV to register more than one investment adviser under an *umbrella registration*, check this box ☐

If you check this box, complete a Schedule R for each relying adviser.

C.

If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of ☐ your legal name or ☐ your primary business name:

D.

(1) If you are registered with the SEC as an investment adviser, your SEC file number: **801-122994**

(2) If you report to the SEC as an *exempt reporting adviser*, your SEC file number:

(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:
No Information Filed

E.

(1) If you have a number ("CRD Number") assigned by the *FINRA*'s *CRD* system or by the IARD system, your *CRD* number: **127517**

If your firm does not have a *CRD* number, skip this Item 1.E. Do not provide the *CRD* number of one of your officers, employees, or affiliates.

(2) If you have additional *CRD* Numbers, your additional *CRD* numbers:
No Information Filed

F.

Principal Office and Place of Business

(1) Address (do not use a P.O. Box):
Number and Street 1:
8550 E. SHEA BOULEVARD, SUITE 130
City:
SCOTTSDALE
State:
Arizona
Country:
United States
ZIP+4/Postal Code:
85260
Number and Street 2:

If this address is a private residence, check this box: ☐

List on *Section 1.F. of Schedule D* any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities, you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year.

(2) Days of week that you normally conduct business at your *principal office and place of business*:
☐ Monday - Friday ☒ Other: BY APPOINTMENT ONLY
Normal business hours at this location:
BY APPOINTMENT ONLY</

A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names

No Information Filed

SECTION 1.F. Other Offices

No Information Filed

SECTION 1.I. Website Addresses

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: <https://www.findyourindependentadvisor.com/FindAdvisor>

Address of Website/Account on Publicly Available Social Media Platform: <https://www.wmsus.com/>

Address of Website/Account on Publicly Available Social Media Platform: <https://www.alignable.com/scottsdale-az/wealth-management-solutions#>

Address of Website/Account on Publicly Available Social Media Platform: <https://www.linkedin.com/company/wealth-management-solutions-llc/about/?viewAsMember=true>

Address of Website/Account on Publicly Available Social Media Platform: <http://www.feeonlynetwork.com/financial-advisor/david-rosenthal/>

Address of Website/Account on Publicly Available Social Media Platform: <https://www.bing.com/maps?q=wealth+management+solutions+scottsdale&q=AS&pq=wealth+management+solutions+sc&sc=1-30&cvid=DA82DAC8>

Address of Website/Account on Publicly Available Social Media Platform: <https://us.dimensional.com/individuals/find-an-advisor-results>

Address of Website/Account on Publicly Available Social Media Platform: <https://g.page/Wealth-management-solutions-wms?share>

Address of Website/Account on Publicly Available Social Media Platform: <https://smartadvisormatch.com/advisor-network/arizona/david-rosenthal-2680280>

Address of Website/Account on Publicly Available Social Media Platform: <https://nextdoor.com/pages/wealth-management-solutions-scottsdale-az/>

Address of Website/Account on Publicly Available Social Media Platform: https://www.facebook.com/wealth.management.solutions.13/?ref=br_rs

Address of Website/Account on Publicly Available Social Media Platform: <https://www.employeefiduciary.com/advisor-directory/>

SECTION 1.L. Location of Books and Records

