

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

|                                               |                    |
|-----------------------------------------------|--------------------|
| Primary Business Name: LAKE EFFECT WEALTH LLC | CRD Number: 306464 |
| Annual Amendment - All Sections               | Rev. 10 / 2021     |
| 3 / 30 / 2026 10:48:00 AM                     |                    |

**WARNING:** Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.

Item 1 Identifying Information

Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an *umbrella registration*, the information in Item 1 should be provided for the *filing adviser* only. General Instruction 5 provides information to assist you with filing an *umbrella registration*.

A. Your full legal name (if you are a sole proprietor, your last, first, and middle names):  
LAKE EFFECT WEALTH LLC

B. (1) Name under which you primarily conduct your advisory business, if different from Item 1.A.  
LAKE EFFECT WEALTH LLC

List on Section 1.B. of Schedule D any additional names under which you conduct your advisory business.

(2) If you are using this Form ADV to register more than one investment adviser under an *umbrella registration*, check this box ☐

If you check this box, complete a Schedule R for each relying adviser.

C. If this filing is reporting a change in your legal name (Item 1.A.) or primary business name



For purposes of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P.

Provide your *Legal Entity Identifier* if you have one:

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names

No Information Filed

SECTION 1.F. Other Offices

No Information Filed

SECTION 1.I. Website Addresses

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform:

http://www.lakeeffectwealth.com

Address of Website/Account on Publicly Available Social Media Platform:

https://www.linkedin.com/in/andrew-driscoll-lakeeffect/

Address of Website/Account on Publicly Available Social Media Platform:

https://www.linkedin.com/company/65479325

SECTION 1.L. Location of Books and Records

No Information Filed

SECTION 1.M. Registration with Foreign Financial Regulatory Authorities

No Information Filed

Item 3 Form of Organization

If you are filing an *umbrella registration*, the information in Item 3 should be provided for the *filing adviser* only.

A.

How are you organized?

☐

Corporation

☐

Sole Proprietorship

☐

Limited Liability Partnership (LLP)

☐

Partnership

☒

Limited Liability Company (LLC)☐☐

If you are changing your response to this Item, see Part 1A Instruction 4.

B.

In what month does your fiscal year end each year?





































