

☐ \$50 billion or more

For purposes of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P. Provide your *Legal Entity Identifier* if you have one:

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names

No Information Filed

SECTION 1.F. Other Offices

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 767 FIFTH AVENUE		Number and Street 2: 18TH FLOOR	
City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10153

If this address is a private residence, check this box: ☐

Telephone Number: (203) 257-0925	Facsimile Number, if any:
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If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
1

- Are other business activities conducted at this office location? (check all that apply)
- ☐ (1) Broker-dealer (registered or unregistered)
 - ☐ (2) Bank (including a separately identifiable department or division of a bank)
 - ☐ (3) Insurance broker or agent
 - ☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
 - ☐ (5) Registered municipal advisor
 - ☐ (6) Accountant or accounting firm
 - ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:
SERVES PRIMARILY AS A MEETING AND SALES OFFICE.

SECTION 1.I. Website Addresses

No Information Filed

SECTION 1.L. Location of Books and Records

Complete the following information for each location at which you keep your books and records, other than your *principal office and place of business*. You must complete a separate Schedule D, Section 1.L. for each location.

Name of entity where books and records are kept:

Number and Street 1:
13861 SUNRISE VALLEY DRIVE

City:
HERNDON

State:
Virginia

Number and Street 2:
SUITE 300

Country:
United States

ZIP+4/Postal Code:
20171

If this address is a private residence, check this box: ☐

Telephone Number:
(800) 333-7680

Facsimile number, if any:

This is (check one):

- ☐ one of your branch offices or affiliates.
☐ a third-party unaffiliated recordkeeper.
☒ other.

Briefly describe the books and records kept at this location.

THIRD-PARTY PROVIDER OF EMAIL SERVICES.

Name of entity where books and records are kept:
UNKAR SYSTEMS INC

Number and Street 1:
1039 SERPENTINE LANE

City:
PLEASANTON

State:
California

Number and Street 2:
#G

Country:
United States

ZIP+4/Postal Code:
94566

If this address is a private residence, check this box: ☐

Telephone Number:
(925) 485-0282

Facsimile number, if any:

This is (check one):

- ☐ one of your branch offices or affiliates.
☒ a third-party unaffiliated recordkeeper.
☐ other.

Briefly describe the books and records kept at this location.

LEGACY FUND ACCOUNTING RECORDS RELATING TO THE PRIVATE FUND.

Name of entity where books and records are kept:
INTERMEDIA.NET, INC.

Number and Street 1:
1050 ENTERPRISE WAY, STE. 200, SUNNYVALE, CA 94089

City:
SUNNYVALE

State:
California

Number and Street 2:
SUITE 200

Country:
United States

ZIP+4/Postal Code:
94089

If this address is a private residence, check this box: ☐

Telephone Number:
855-776-1163

Facsimile number, if any:

This is (check one):

